

## 5) Fault Tree Analysis

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### Fault Tree Analysis (FTA)

#### What is Fault Tree Analysis (FTA)?

The interrelationships between critical events of a system and their causes can be visualized using a top-down logical diagram known as the **Fault Tree Analysis** or **FTA**. FTA is a graphical-mathematical tool, and it is used to identify potential causes of system-level failures before they occur. FTA uses symbols to describe events and connections; therefore, it is referred to as a graphical tool. It is extensively used in reliability and safety studies.

#### What are the elements of a Fault Tree?

Following are the main elements of a Fault Tree -

- **Top Event** - This is the event of interest. The whole Fault Tree is developed under this event.
- **Intermediate Event** - Event which lies in between the Top and Basic events
- **Basic Event** - Fundamental event which cannot be further developed
- **Logic Gates** - Establish relationships between output event and the corresponding inputs

The top event is connected to basic events via different intermediate events with the help of logic gates.

#### What are the symbols used in FTA?

There are two types of symbols which are used in FTA -

- **Event Symbols** - Symbols representing a particular event.
- **Gate Symbols** - Symbols representing logic gates which are used to connect individual events.

Following tables give a description of all symbols used in FTA -

Table 1: Event Symbols

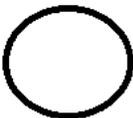
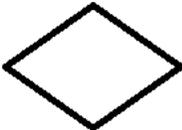
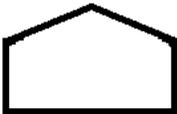
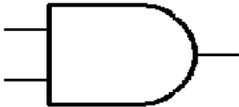
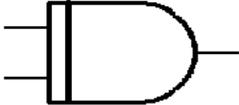
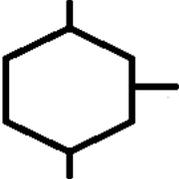
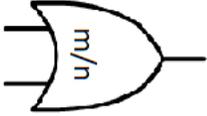
| S.No. | Symbol Name | Event Symbol  | Description                                |
|-------|-------------|---|--|
| 1     | Circle      |    | Primary or Basic Failure Event             |
| 2     | Rectangle   |    | State of system or subsystem               |
| 3     | Diamond     |    | Secondary Failure or Under Developed Event |
| 4     | Oval        |   | Conditional Event                          |
| 5     | House       |  | House Event                                |
| 6     | Triangle    |  | Transfer-in and transfer-out symbols       |

Table 2: Gate Symbols

| S.No. | Symbol Name | Gate Symbol   | Description                    |
|-------|-------------|---|--------------------------------|
| 1     | AND         |  | True if all input events occur |

| S.No. | Symbol Name  | Gate Symbol   | Description                                       |
|-------|--------------|---|---|
| 2     | OR           |    | True if at least one input event occurs           |
| 3     | Priority AND |    | True if all input events occur from left to right |
| 4     | Exclusive OR |    | True if only either of the input events occurs    |
| 5     | Inhibit      |   | True if attached condition & input events occur   |
| 6     | m/n          |  | True if m-out-of-n input events occur             |

### What are the parameters associated with FTA?

Following are the parameters associated with FTA -

- **MTTF** - The mean time to failure
- **MTTR** - The mean time to repair (restore)
- **Inspect** or  $\tau$  - The time interval between inspections for the dormant component
- **Failure Rate** or  $\lambda$
- **P<sub>o</sub>** - Basic event probability ( $\lambda * MTTR$ )
- **Prob** - Probability of failure  $(1 - ((1 - \exp(-\tau/MTTF)) / (\tau/MTTF))) * (1 - P_o)$

### Introduction

The purpose of this experiment is to perform a **Fault Tree Analysis** or **FTA** of a hypothetical situation. For the sake of simplicity, a situation of internet connection failure is chosen for FTA, but any other situation can be analyzed similarly.

## Procedure

Step by step procedure to conduct the required experiment -

1. Creating top event
2. Creating intermediate events
3. Creating basic events
4. Performing fault tree calculation
5. Creating fault tree tabular subview
6. Displaying fault tree in browser

Note : Please make sure that the following package is already installed -

- FaultTree

## Code and Results

```
## R has a predefined package with the name "FaultTree" for performing FTA
# To know more about the package, after loading it using the "library" command, type
# "?FaultTree" in the console
```

### Creating top event

```
# 1) Creating top event
# Run the following command after removing "#" if "FaultTree" package is not installed
# install.packages("FaultTree")
library(FaultTree)
Fault_Tree <- ftmake(type="or",reversible_cond=TRUE, name="Internet Connection",
name2="Failure")
```

### Creating intermediate events

```
# 2) Creating intermediate events
Fault_Tree <- addLogic(Fault_Tree, at=1, type="or", name="Hardware Issue")
Fault_Tree <- addLogic(Fault_Tree, at=1, type="or", name="Software Issue")
```

### Creating basic events

```
# 3) Creating basic events
Fault_Tree <- addLatent(Fault_Tree, at=2, mttf=1.5,mttr=2,inspect=0.87,
name="Router Malfunctioning")
Fault_Tree <- addLatent(Fault_Tree, at=2, mttf=1.5,mttr=2,inspect=0.5,
name="Power Supply is OFF")
Fault_Tree <- addLatent(Fault_Tree, at=3, mttf=1.5,mttr=2,inspect=0.33,
name="Change in Network", name2="and Internet settings")
Fault_Tree <- addLatent(Fault_Tree, at=3, mttf=1.5,mttr=2,inspect=0.72,
name="Driver not installed")
```

## Performing fault tree calculation

```
# 4) Performing fault tree calculation
Fault_Tree <- ftree.calc(Fault_Tree)
```

## Creating fault tree tabular subview

```
# 5) Creating fault tree tabular subview
ftree2table(Fault_Tree)
```

| ##   | ID | Parent | CFR       | PBF       | CRT       | MOE | Cond | Label | Name                  |
|------|----|--------|-----------|-----------|-----------|-----|------|-------|-----------------------|
| ## 1 | 1  | -1     | 2.6666667 | 0.9844805 | 10.740741 | 0   | 0    |       | Internet Connection   |
| ## 2 | 2  | 1      | 1.3333333 | 0.8814783 | 3.3333333 | 0   | 0    |       | Hardware Issue        |
| ## 3 | 3  | 1      | 1.3333333 | 0.8690577 | 3.3333333 | 0   | 0    |       | Software Issue        |
| ## 4 | 4  | 2      | 0.6666667 | 0.6748017 | 2.0000000 | 0   | 0    |       | Router Malfunctioning |
| ## 5 | 5  | 2      | 0.6666667 | 0.6355403 | 2.0000000 | 0   | 0    |       | Power Supply is OFF   |
| ## 6 | 6  | 3      | 0.6666667 | 0.6152964 | 2.0000000 | 0   | 0    |       | Change in Network     |
| ## 7 | 7  | 3      | 0.6666667 | 0.6596280 | 2.0000000 | 0   | 0    |       | Driver not installed  |

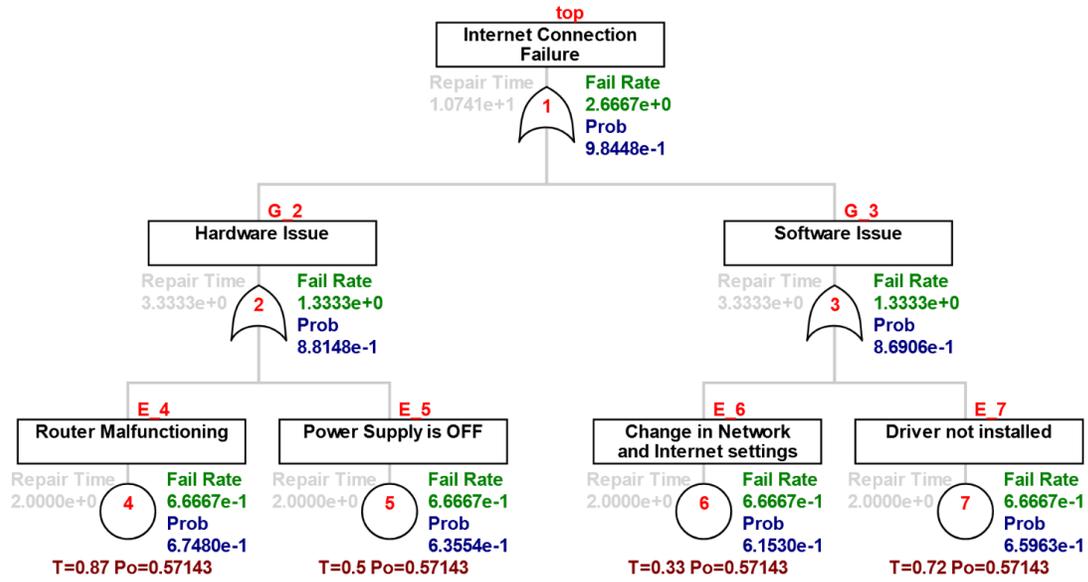
## Displaying fault tree in browser

```
# 6) Displaying fault tree in browser
ftree2html(Fault_Tree, write_file=TRUE)
```

| ##   | ID | Parent | CFR       | PBF       | CRT       | MOE | Cond | Label | Name                  |
|------|----|--------|-----------|-----------|-----------|-----|------|-------|-----------------------|
| ## 1 | 1  | -1     | 2.6666667 | 0.9844805 | 10.740741 | 0   | 0    |       | Internet Connection   |
| ## 2 | 2  | 1      | 1.3333333 | 0.8814783 | 3.3333333 | 0   | 0    |       | Hardware Issue        |
| ## 3 | 3  | 1      | 1.3333333 | 0.8690577 | 3.3333333 | 0   | 0    |       | Software Issue        |
| ## 4 | 4  | 2      | 0.6666667 | 0.6748017 | 2.0000000 | 0   | 0    |       | Router Malfunctioning |
| ## 5 | 5  | 2      | 0.6666667 | 0.6355403 | 2.0000000 | 0   | 0    |       | Power Supply is OFF   |
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| ## 7 | 7  | 3      | 0.6666667 | 0.6596280 | 2.0000000 | 0   | 0    |       | Driver not installed  |

```
browseURL('Fault_Tree.html')
```

```
# Below is a screenshot of the fault tree as displayed in a browser
```



## Conclusion

Fault Tree Analysis (FTA) of the internet connection failure situation has been successfully performed.